

Old Mutual Limited  
 Incorporated in the Republic of South Africa  
 Registration number: 2017/235138/06  
 ISIN: ZAE000255360  
 LEI: 213800MON84ZWWPQCN47  
 JSE Share Code: OMU  
 NSX Share Code: OMM  
 MSE Share Code: OMU  
 ZSE Share Code: OMU  
 ("Old Mutual" or "the Company")

Ref 08\_21

14 April 2021

## **DEALINGS IN SECURITIES BY DIRECTORS, COMPANY SECRETARY AND PRESCRIBED OFFICERS OF OLD MUTUAL**

In accordance with paragraph 3.63 of the JSE Listings Requirements, shareholders are advised that the following directors, company secretary and prescribed officers of Old Mutual have been awarded forfeitable shares in terms of the company's Employee Share Ownership Plan (ESOP) Rules:

|                          |   |
|--------------------------|---|
| Director                 | <b>Iain G Williamson</b>  |
| Position                 | Chief Executive Officer   |
| Company                  | Old Mutual  |
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 83 795  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R1 096 876.55   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|          |                                   |
|----------|-----------------------------------|
| Director | <b>Casparus Gerhardus Troskie</b> |
| Position | Chief Financial Officer           |
| Company  | Old Mutual                        |

|                          |   |
|--------------------------|---|
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 63 349  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R829 238.41   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|                          |   |
|--------------------------|---|
| Director                 | <b>Elsabé Margaretha Kirsten</b>  |
| Position                 | Company Secretary   |
| Company                  | Old Mutual  |
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 16 089  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R210 605.01   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|                        |   |
|------------------------|---|
| Director               | <b>Clement Chinaka</b>  |
| Position               | Managing Director: Rest of Africa   |
| Company                | Old Mutual  |
| Nature of transactions | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities    | Ordinary shares   |
| Transaction Date       | 09-Apr-21   |
| Vesting Date           | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares       | 38 005  |
| Grant Price per share  | R13.0900  |
| Value of Transaction   | R497 485.45   |

|                          |                   |
|--------------------------|-------------------|
| Nature of interest       | Direct beneficial |
| Clearance obtained       | Yes               |
| Place of the transaction | XOFF              |

|                          |   |
|--------------------------|---|
| Director                 | <b>Tsakani Clarence Nethengwe</b>   |
| Position                 | Managing Director: Mass Foundation Cluster  |
| Company                  | Old Mutual  |
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 47 507  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R621 866.63   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|                          |   |
|--------------------------|---|
| Director                 | <b>Prabashini Moodley</b>   |
| Position                 | Managing Director: Corporate  |
| Company                  | Old Mutual  |
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 42 457  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R555 762.13   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|          |  |
|----------|--|
| Director | <b>Kerrin Land</b>   |
| Position | Managing Director: Personal Finance and Wealth<br>Management |
| Company  | Old Mutual   |

|                          |   |
|--------------------------|---|
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 47 507  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R621 866.63   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|                          |   |
|--------------------------|---|
| Director                 | <b>Garth Napier</b>   |
| Position                 | Managing Director: Old Mutual Insure  |
| Company                  | Old Mutual  |
| Nature of transactions   | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities      | Ordinary shares   |
| Transaction Date         | 09-Apr-21   |
| Vesting Date             | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares         | 50 497  |
| Grant Price per share    | R13.0900  |
| Value of Transaction     | R661 005.73   |
| Nature of interest       | Direct beneficial   |
| Clearance obtained       | Yes   |
| Place of the transaction | XOFF  |

|                        |   |
|------------------------|---|
| Director               | <b>Heloise Van Der Mescht</b>   |
| Position               | Acting Chief Operations Officer   |
| Company                | Old Mutual  |
| Nature of transactions | Off-market award of forfeitable shares in respect of the ESOP<br>Deferred Bonus Award |
| Class of Securities    | Ordinary shares   |
| Transaction Date       | 09-Apr-21   |
| Vesting Date           | Award vests in equal tranches on 09 April 2022, 09 April 2023<br>and 09 April 2024.   |
| Number of shares       | 43 835  |
| Grant Price per share  | R13.0900  |
| Value of Transaction   | R573 800.15   |

|                          |                   |
|--------------------------|-------------------|
| Nature of interest       | Direct beneficial |
| Clearance obtained       | Yes               |
| Place of the transaction | XOFF              |

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### **Sponsors**

|                                    |   |
|------------------------------------|---|
| <b>Johannesburg Stock Exchange</b> | Merrill Lynch South Africa (Pty) Limited              |
| <b>Namibia</b>                     | PSG Wealth Management (Namibia) (Proprietary) Limited |
| <b>Zimbabwe</b>                    | Imara Capital Zimbabwe plc                            |
| <b>Malawi</b>                      | Stockbrokers Malawi Limited                           |

### **Enquiries**

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### **Notes to Editors**

Old Mutual is a premium African financial services Group that offers a broad spectrum of financial solutions to retail and corporate customers across key markets segments in 14 countries. Old Mutual's primary operations are in South Africa and the rest of Africa, and we have a niche business in China. With over 175 years of heritage across sub-Saharan Africa, we are a crucial part of the communities we serve and broader society on the continent.

For further information on Old Mutual, and its underlying businesses, please visit the corporate website at [www.oldmutual.com](http://www.oldmutual.com).